



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Calum E. MacAulay et al.

Title:

METHODS AND APPARATUS FOR IMAGING USING A

LIGHT GUIDE BUNDLE AND SPATIAL LIGHT

**MODULATOR** 

Application No.:

09/738,257

Filing Date:

December 14, 2000

Examiner/Unit:

/2872

Attorney Docket No.:

1774-4-3

## CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited in the United States Postal Service as First Class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C.

20231, on this  $\frac{1}{2}$  day of

\_, 2002

Signature

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97

## TO THE COMMISSIONER FOR PATENTS:

In compliance with the duty of disclosure under 37 CFR § 1.56, Applicant submits herewith patents, publications, or other information for consideration during the examination of this application.

In accordance with 37 CFR § 1.97, the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made nor that the

information cited in the statement is or is considered to be "material" to patentability as defined in 37 CFR § 1.56(b).

- X Charge any additional fees to Deposit Account No. 07-1897
- X A postcard as acknowledgement of receipt of Form PTO-1449 and copies of the documents cited in the attached form are enclosed.

Respectfully submitted,

GRAYBEAL JACKSON HALEY LLP

Jøshua King

Attorney for Applicant Registration No. 35,570

155-108th Avenue N.E., Ste 350

Bellevue, WA 98004

(425) 455-5575

Form PTO-1449  INFORMATION DISCLOSURE CITATION IN AN APPLICATION			Docket Number (Optional) 1774-4-3		Application Number 09/738,257			
(Us	se several sheets if necessa	⊒ry)	Applicant(s) Calum E. MacAulay et al.					
			Filing Date December 14, 2000	Group Art Unit 2872				
7		U.S. PA	TENT DOCUMENTS		2012			
EXAMINER INITIAL	EXAMINER DOCUMENT		NAME	CLAS S	SUBCLASS	FILING I IF APPROP		
	4,676,593	6/30/1987	Adachi et al:	350	96.26	1		
	5,587,832	12/24/1996	Krause	359	385			
	5,659,642	8/19/1997	King et al.	385	16			
	5,742,419	4/21/1998	Dickensheets et al.	359	201			
		FOREIGN I	PATENT DOCUMENTS		-	Y	<del></del>	
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation		
						YES	N	
·	EP 022 220	1/14/1981	EP	A 61 B	1/00			
	DE 31 08 389	4/8/1982	DE	G02F	1/01	abstract		
	JP 03 134608	7/6/1991	Japan	G02B	2106	abstract		
<u>:</u>	EP 0482 340	4/29/1992	EP	A61B	1/13			
<del></del>	WO 99/22262	5/6/1999	PCT.	G02B	21/06			
<u> </u>	·WO 99/40471	8/12/1999	PCT	G02B	21/00			
	WO 99/52416	10/21/1999	PCT	A 61 B	1/04	,		
	EP 1 079 255	2/28/2001	EP	G02B	23/24			
	OTHER DOCI	JMENTS (Includin	ng Author, Title, Date, Per	rtinent Page	s, Etc.)			
	Nakamura, Osa Analysis", Apr	Nakamura, Osamu et al. "Multispectral Computed-Tomography Microscope for 3-D Material Analysis", Applied Optics. Vol. 29, No. 11; 1671-1674 (1990).						
	Kawata, S. et a of America. V	Kawata, S. et al. "Optical Microscope Tomography. I. Support Constraint", L. Optical Society of America. Vol. 4, No. 1; 292-297 (1987).						
	Nakamura, O. 6 Gradient-Proje	Nakamura, O. et al. "Optical Microscope Tomography. II. Nonnegative Constraint By a Gradient-Projection Method", Optical Society of America. Vol. 5, No. 4, 554-561 (1988).						
		Kawata, S., et al. "Optical Microscope Tomography", Inverse Optics II. Vol. 558, pp 15-20						
	Kawata, S., et a 26; 3805-3809.	al.," Laser Comp . (1990)	outed-Tomography Mi	croscope",	Applied Option	cs. Vol. 29,	, No.	
EXAMINER			DATE CONSIDER	RED				

Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the

applicant.

Form PTO-1449  INFORMATION DISCLOSURE CITATION IN AN APPLICATION  (Use several sheets if necessary)			Docket Number (Option: 1774-4-3		Sheet 2 of Application Number 09/738,257					
			Applicant(s) Calum E. MacAulay et al.							
		Filing Date December 14, 2000	Group Art Unit 2872							
		U.S. PA	TENT DOCUMENTS				<del></del>			
EXAMINER INITIAL	DOCUMENT NUMBER -	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE				
	5,907,425	5/25/1999	Dickensheets et al.	359	224					
	5,930,027	7/27/1999	Mentzer	359	298					
	<del></del>	FOREIGN	PATENT DOCUMENTS			1				
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation				
•						YES.	NO			
		,		_						
	OTHER DOCU	MENTS (In all all								
		OTHER DOCUMENTS (Including Author; Title, Date, Pertinent Pages, Etc.)  Dabbs, Tim et al. "Fiber-Optic Confocal Microscope: FOCON", Applied Optics. Vol. 31, No. 16, 2020, 2025 (1902)								
		Giniūas, L. et al. "Scanning Fibre-Optic Microscope", Electronic Letters, Vol. 27, No. 9; 724-								
	Giniūas, L. et al 16; 2888-2890.	Giniūas, L. et al. "Endoscope with Optical Sectioning Capability", Applied Optics. Vol. 32, No. 16; 2888-2890. (1993).								
	Dickensheets, D Letters. Vol. 21,	Dickensheets, D.L. et al. "Micromachined Scanning Confocal Optical Microscope", Optics Letters. Vol. 21, No. 10, 764-766. (1996).								
	Gmitro, Arthur I Letters. Vol. 18	Gmitro, Arthur F. et al. "Confocal Microscopy Through a Fiber-Optic Imaging Bundle", Optics Letters. Vol. 18, No. 8, 565-567. (1993).								
	Sabharwal, Yash in vivo Imaging'	Sabharwal, Yashvinder S. et al. "Slit-Scanning Confocal Microendoscope for High-Resolution in vivo Imaging", Applied Optics. Vol. 38, No. 34, 7133-7144. (1999)								
	Juskaitis, R. et a	Juskaitis, R. et al. "Confocal Microscopy using Optical Fibre Imaging Bundles", SPIE. Vol. 2655, 92-94 (1996).								
	Lane, Pierre M. Optics Letters.	Lane, Pierre M. et al. "Fiber-Optic Confocal Microscopy Using a Spatial Light Modulator", Optics Letters. Vol. 25, No. 24, 1780-1782 (2000).								
XAMINER			DATE CONSIDERED							
EXAMINER: Initial if Draw line through cita	citation considered, whe	ther or not citation	on is in conformance with dered. Include copy of th	MPEP § 60	9; next communica	ation to the				